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Dated: 27 September 2004 Signature: 

(Anthony A. Laurentano)

Docket No.: BTW-087US  
(PATENT)

**IN THE UNITED STATES PATENT OFFICE AS DESIGNATED OFFICE (DO/US)**

In re Patent Application of:

Nick Zakhleniuk *et al.*

International Application No.: PCT/GB03/01361

International Filing Date: 27 March 2003

Application No.: NEW APPLICATION

Art Unit: N/A

Filed: Concurrently Herewith

Examiner: Not Yet Assigned

For: ELECTRO-OPTIC MODULATORS  
INCORPORATING QUANTUM DOTS

**FIRST PRELIMINARY AMENDMENT**

MS PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INTRODUCTORY COMMENTS**

Prior to examination on the merits, please amend the above-identified U.S. patent application as follows:

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 3 of this paper.

**Remarks/Arguments** begin on page 7 of this paper.